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PRINT FIG. 3

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**FAULT-TOLERANT FIBER OPTIC BACKPLANE**

The present invention relates to a fault-tolerant fiber optic backplane having a redundancy management unit for interconnecting local modules to external backplanes and their redundant modules.

Referring to Figure 1, a redundancy management unit (RMU) 1 includes an optical read bus 3 and an optical write bus 2 for interconnecting local modules (see processing module 24 in Figure 3, for example). RMU 1 also includes fault tolerance algorithms via mask unit 17, deskew unit 18 and voter unit 19. All data transmitted by write bus 3 is subjected to the fault tolerance algorithms before the data is passed for distribution to read bus 2. Referring to Figure 2, RMU 1 is connected via optical buses 4 and 5 to redundant RMU's 21, 22 and 23 to form a quad-redundant RMU set. Each redundant RMU 21, 22 and 23 forms an external backplane optically interconnecting redundant modules (see Figure 4, for example).

Use of fiber optic cables in buses 2, 3, 4 and 5 decreases susceptibility to upset caused by high intensity radiated fields and lightning strikes and, thus, is suited for use in flight control systems. Moreover, because a backplane according to the present invention is fault-tolerant, maintenance requirements are low and reliability is high.

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**FAULT-TOLERANT FIBER OPTIC BACKPLANE**Origin of the Invention

The invention described herein was made by an employee of the U.S. Government and may be manufactured and used by or for the government for governmental purposes, without the payment of any royalties thereon or therefor.

Background of the InventionField of the Invention

The present invention relates generally to a fault-tolerant fiber optic backplane and, more particularly, to a fault-tolerant fiber optic backplane having a redundancy management unit for interconnecting local modules to external backplanes and their redundant modules.

Description of the Related Art

Fiber optic cable provides high speed data transfer, electrical isolation and immunity from electromagnetic interference. An application that would benefit from the use of fiber optic cable is the module interconnect (or "backplane" as it has been classically termed). A drawback of using fiber optic cable is that, to achieve high transmission speeds, it is necessary to synchronize the fiber optic transmitter and receiver. This requirement leads to point-to-point topologies, which are common in fiber optic networks. A backplane typically has a multi-drop connectivity, which allows each module on the backplane to directly access any other module on the backplane. Such connectivity is only achieved in point-to-point networks through fully connected

topology, i.e., every module is connected to every other module. This type of connectivity is costly in terms of fiber optic transmitters and receivers.

In U.S. Patent No. 4,870,637 issued to Follett et al. on September 26, 1989, an optical backplane is described which uses a centralized switch that includes a switch block and a timing generation block. The centralized switch optically interconnects modules having fiber optic transmitters and receivers. To support high speed transmission, the fiber optic transmitters and receivers must be synchronized. For this purpose, a separate timing signal is provided to each module by the timing generation block. The addition of a separate timing signal, however, doubles the cost of a serial backplane, i.e., a backplane that transfers one bit at a time. Consequently, it is desirable to eliminate the requirement for a separate timing signal.

Many architectures have used time division multiplexing on internal electronic buses to interconnect modules and on external electronic buses to interconnect computers. Optical interconnects are usually designed with optical receiver clocks derived from a circuit which is phase locked with the transmitted optical signal. To maintain lock, the optical transmitter sends empty messages when real data is not available. Designers have not used time division multiplexing with optical buses due to the time penalty associated with re-establishing phase lock between the receiver/transmitter pairs when different transmitters begin to use the bus. Rather, token passing protocols, which carry less of a timing penalty, are used to provide optical interconnects. Token passing protocols, however, require more hardware and software to implement.

Conventional optical interconnects, such as the optical backplane disclosed in the Follett et al. patent, are not fault-tolerant. Conventionally, in electronic systems, fault tolerance is achieved by producing and maintaining redundant information that can be later analyzed to yield, even in the presence of errors in the redundant information, the correct original information. The degree of fault tolerance and, therefore, the reliability of the resulting system,

depends on the amount of redundant information used. However, increasing redundancy adds cost both in material and performance.

One parameter of fault tolerance is the redundancy depth, e.g., dual, triplex and quad. U.S. Patent No. 4,634,110 issued to Julich et al. on January 6, 1987 describes a dual redundant fault detection and redundancy management system. Dual redundancy has the disadvantage of being unable to provide error masking, as may be provided with greater redundancy depth by incorporating voting circuitry. Instead, dual redundancy relies upon the passive failure of a faulty master unit.

Another parameter of fault tolerance is the level at which the redundant information is provided, e.g., gate, chip, module or subsystem. In the system disclosed in the Julich et al. patent, the redundant information is produced at the subsystem level, i.e., master unit. Thus, when a single component, e.g., a processor, of the subsystem fails the entire subsystem is disabled. This greatly increases the cost of failure and reduces system availability.

A third parameter of fault tolerance is synchronization. U.S. Patent No. 4,497,059 issued to Smith on January 29, 1985 and U.S. Patent No. 4,665,522 issued to Lala et al. on May 12, 1987 disclose multi-channel redundant processing systems that are tightly synchronized, i.e., each channel is forced to execute identical instructions at exactly the same time. However, tightly synchronized systems are disadvantageous because they do not have the ability to simultaneously execute different instruction streams to increase reliability and performance.

U.S. Patent No. 4,995,040 issued to Best et al. on February 19, 1991 discloses an apparatus for management, comparison and correction of redundant digital data. This system uses a redundancy management unit that contains logic and control circuitry to implement fault tolerance algorithms. Similarly, U.S. Patent No. 4,907,232 issued to Harper et al. on March 6, 1990 discloses a fault-tolerant parallel processing system that includes network elements having logic and control circuitry to implement fault tolerance

algorithms. Neither the Best et al. patent nor the Harper et al. patent address the requirements of connecting their respective redundancy management unit and network elements to fiber optic medium. Moreover, neither the Best et al. patent nor the Harper et al. patent address the vulnerability of the system to failure of their respective redundancy management unit and network elements. Making several replicated devices dependent on the correct operation of a single redundancy management unit as disclosed in the Best et al. patent, or on a single network element as disclosed in the Harper et al. patent, reduces the reliability and availability of the system.

Therefore, fiber optic backplanes have been proposed that are not fault-tolerant, and electronic fault-tolerant systems have been designed which do not address the needs of fiber optic interconnects. Further, the electronic fault-tolerant systems that have been proposed have limitations in system reliability and availability due to failure of a redundancy management unit or network element.

#### Summary of the Invention

It is an object of the present invention to provide a fault-tolerant fiber optic backplane for interconnecting local modules to external backplanes and their redundant modules.

It is another object of the present invention to provide a fault-tolerant fiber optic backplane for interconnecting local processing modules to external backplanes and their redundant processing modules.

It is another object of the present invention to provide a fault-tolerant fiber optic backplane for interconnecting a local processing module and a local input/output module to external backplanes and their redundant processing modules.

It is another object of the present invention to provide a fault-tolerant fiber optic backplane that synchronizes fiber optic transmitters and receivers without providing a separate timing signal.

5 It is another object of the present invention to provide a fault-tolerant fiber optic backplane for serially interconnecting local modules to external backplanes and their redundant modules.

It is another object of the present invention to provide a fault-tolerant fiber optic backplane having at least a triplex redundancy depth to provide error masking.

10 It is another object of the present invention to provide a fault-tolerant fiber optic backplane having module level redundancy.

It is another object of the present invention to provide a fault-tolerant fiber optic backplane that need not be tightly synchronized.

15 It is a further object of the present invention to provide a fault-tolerant fiber optic backplane that addresses the vulnerability of redundancy management unit failure.

20 It is a further object of the present invention to provide a fault-tolerant fiber optic backplane suited for use in flight control systems and which decreases the system's susceptibility to upset caused by high intensity radiated fields and lightning strikes.

It is a further object of the present invention to provide a fault-tolerant fiber optic backplane having low maintenance requirements and high reliability.

25 In order to achieve the foregoing and other objects, in accordance with the purposes of the present invention as described herein, the present invention uses a fiber optic interconnect, or backplane, which serves as a via for data transfer between modules. Fault tolerance algorithms are embedded in the backplane by dividing the backplane into a read bus and a write bus and placing a redundancy management unit (RMU) between the read bus and the write bus so that all data transmitted by the write bus is subjected to the fault

tolerance algorithms before the data is passed for distribution to the read bus. The RMU provides both backplane control and fault tolerance.

Access to the backplane is controlled by a global time that is established in the RMU and distributed to the local modules attached to the backplane.

5 Data is identified by the global time at which the data is received, as opposed to using identification information added to the data. This results in a reduction in data overhead. The fiber optic receivers/transmitters must be synchronized to support high speed transmissions. The RMU has the only transmitter inputting data onto the read bus. Consequently, the local modules can  
10 synchronize to the data stream transmitted over the read bus. The write bus, however, is multiplexed, i.e., each of the local modules has a transmitter that inputs data onto the write bus. Accordingly, the optical transmitters of the local modules must be synchronized. This is accomplished by deriving the timing of the transmitters in the local modules from the timing of the receivers in the local  
15 modules, which is derived from the global time base in the RMU. All module transmitters will thus be synchronized to the same time base.

Fault tolerance is achieved by using additional fiber optic cables to interconnect the RMU with redundant RMUs in external backplanes, forming a redundant RMU set. Redundant information produced by redundant modules  
20 attached to the external backplanes and interconnected with the redundant RMUs is channeled through the additional fiber optic cables and then through masking, deskewing and voting algorithms in the RMU. In each of the external backplanes, a fiber optic write bus and a fiber optic read bus interconnect the redundant modules to the redundant RMU. Production and transmission of the  
25 redundant information onto the fiber optic write buses of the external backplanes is controlled by the time base which is established across the RMU redundant set through the additional fiber optic cables.

Additional fault tolerance is provided by protecting the backplane itself from failure. The write bus is protected by dissimilar duality, i.e., both a local  
30 module and the RMU must agree that the local module's data should be gated



onto the write bus. The read bus is protected by transmitting data through replicated transmitters and optical fiber cables. The resulting data streams are combined at the module receiver so that no single failure of an RMU transmitter will corrupt the message. Preferably, the entire RMU is replicated to form an  
5 RMU complex, thus providing protection against any single RMU failure in the RMU complex. The RMU complex thus becomes a fault-tolerant time base which can keep the replicated RMUs in exact lock step at high speed through the additional fiber optic cables.

These and other features and advantages of the present invention will  
10 become more apparent with reference to the following detailed description and drawings. However, the drawings and description are merely illustrative in nature, and not restrictive.

#### Brief Description of the Drawings

The accompanying drawings illustrate several aspects of the present  
15 invention and, together with a description, serve to explain the principles of the present invention. Like numerals denote like elements.

In the drawings:

Figure 1 is a block diagram of a redundancy management unit of a fault-tolerant fiber optic backplane according to the present invention;

20 Figure 2 shows the fiber optic interconnection among four redundancy management units, each identical to that shown in Figure 1, in a quad-redundant set;

Figure 3 is a block diagram of a processing module of a fault-tolerant fiber optic backplane according to the present invention;

25 Figure 4 shows a local fiber optic write bus and a local fiber optic read bus interconnecting a plurality of processing modules identical to that shown in Figure 3 and a redundancy management unit identical to that shown in Figure 1;

Figure 5 shows two channels of a quad-redundant redundancy management unit set identical to that shown in Figure 2, each channel of which includes a local fiber optic write bus and a local fiber optic read bus for connecting a plurality of processing modules identical to that shown in Figure 3;

Figure 5A corresponds with Figure 5 but shows I/O modules connected within the channels of the quad-redundant redundancy management unit set, in addition to processing modules;

Figure 6 is a block diagram of a second embodiment of the present invention wherein the redundancy management unit is integrated with a distributed system data path controller to form a distributed system data path module;

Figure 7 is a block diagram of a four channel distributed system data path including two fault-tolerant sites, each of which includes a quad-redundant distributed system data path module set; and

Figure 7A is a block diagram showing two channels of the quad-redundant DSDP module set shown in Figure 7.

#### Detailed Description of the Preferred Embodiments

Figure 1 is a block diagram of an redundancy management unit (RMU) 1 of a first embodiment of a fault-tolerant fiber optic backplane according to the present invention. RMU 1 includes a read bus 2 having one or more fiber optic cables. Although Figure 1 shows three fiber optic cables in read bus 2, the number of fiber optic cables in read bus 2 is determined by the reliability and maintainability goals of the particular application. The fiber optic cables of read bus 2 are connected to one or more fiber optic transmitters 7. Although three fiber optic transmitters 7 are shown in Figure 1 (i.e., indicated by the triplicated replication depth), the number of fiber optic transmitters 7 used is determined by the reliability and maintainability goals of a particular application.

RMU 1 includes a write bus 3 having a plurality of fiber optic cables. As discussed below, each fiber optic cable of write bus 3 connects RMU 1 to a fiber optic transmitter of a local processing module (shown in Figure 3). Throughout the application, the term "local" refers to elements attached to the backplane, while the terminology "external backplanes" refers to additional backplanes.

As with transmitter 7, all components of RMU 1 may be replicated as necessary to provide the reliability and maintainability necessary for a particular application. In Figure 1, for example, replication of various elements is indicated by triplicated replication depth. Preferably, the entirety of RMU 1 is replicated so that data resulting from each of the replicated RMUs is transmitted over a corresponding fiber optic cable of read bus 2. As discussed below, the data from the replicated RMUs is combined at each of the local processing modules. This replication of RMU 1 is made possible by the use of a time base oscillator 6, which may be a crystal oscillator, for example. Time base oscillator 6 provides an identical time base throughout the replicated RMUs. Time base oscillator 6 is connected to global time unit 8, which may be a counter register, for example. Global time is accumulated in global time unit 8. The global time from global time unit 8 is provided to scheduler processor 9 and clock synchronization unit 10. The clock synchronization unit 10 is connected to a deskew unit 18 to monitor the data streams from the redundant RMU set (which is discussed below with respect to Figure 2 and which is not to be confused with the replicated RMUs) in order to maintain a consistent global time across the redundant RMU set. Clock synchronization unit 10 uses a suitable clock synchronization algorithm. Such clock synchronization algorithms are conventional and well-known to those in the art. For example, F.B. Schneider, "Understanding Protocols for Byzantine Clock Synchronization", Cornell University Technical Report 87-859, August 1987 and R.W. Butler, "A Survey of Provably Correct Fault-Tolerant Clock Synchronization Techniques",

NASA Technical Memorandum 100553, February 1988, which are incorporated herein by reference, disclose such suitable clock synchronization algorithms.

In a real time system, such as the present invention, most data is repeated during specific intervals of time. Scheduler processor 9 contains a  
5 table of message information versus global time. This message information identifies the data and the local processing module which produced the data. The scheduler processor 9 passes this message information to a configuration management unit 11 and a selector 12. The scheduler processor 9 may be a conventional data processor (computer).

10 The configuration management unit 11 is connected to a voter unit 19 to monitor the health of the local processing modules and the redundant processing modules (discussed below with respect to Figure 5). Consequently, configuration management unit 11 contains information related to the health of the local processing modules and the redundant processing modules in order  
15 to disable the data streams of known failed local processing modules and redundant processing modules when data supplied by those modules are being processed by RMU 1. Data streams from failed local processing modules and failed redundant processing modules are disabled by a mask unit 17 based upon the information supplied by configuration management unit 11. The  
20 configuration management unit 11 may be a conventional data processor (computer), for example.

Selector 12 uses the message information provided by scheduler processor 9 to enable a fiber optic line associated with the local processing module producing data at the global time, i.e., data from one of the fiber optic  
25 cables in write bus 3 is output from selector 12 and input to a phased locked loop (PLL) 13 and an optical receiver 14. Preferably, selector 12 is an optical switch. Because scheduler processor 9 has control of which one of the fiber optic cables of write bus 3 has access to receiver 14, faulty local processing modules are prohibited from over-utilizing RMU 1. Global time unit 8, scheduler  
30 processor 9 and selector 12 make up one portion of a dissimilar dual which

controls access to RMU 1. The second part of the dissimilar dual will be discussed below relative to the local processing modules.

To support high speed data transmission, optical receiver 14 is clocked by PLL 13, which locks its internal clock to the incoming data stream from optical switch 12. Alternatively, to increase the stability of PLL 13, PLL 13 may be clocked directly by time base oscillator 6. Normally, switching between multiple data streams would represent a problem, as this would require the PLL to relock. In the present invention, as discussed below, the multiple transmitters in the local processing modules are themselves clocked by PLLs, which are in turn locked to the data stream produced by transmitter 7 of RMU 1. The multiple transmitters in the local processing modules thus have the same clock source, i.e., time base oscillator 6, thereby reducing skew and drift and minimizing lock time for PLL 13 of RMU 1. The data stream is converted from optic to electronic by receiver 14. Receiver 14 feeds the data stream to an optical transmitter 15 and mask unit 17. Optical transmitter 15 feeds a bus 4, which includes a plurality of fiber optic cables. Each of the fiber optic cables of bus 4 feeds a corresponding one of the redundant RMUs. Transmitter 15 converts the data stream from electronic to optic and transmits the data stream from receiver 14 in accordance with the time base from time base oscillator 6.

Figure 2 shows the fiber optic interconnection among four RMUs 1, 21, 22 and 23 in a quad-redundant set. Each of redundant RMUs 21, 22 and 23 is identical to RMU 1. The data stream through the fiber optic cables of bus 4 in each of RMUs 1, 21, 22 and 23 is routed to fiber optic cables of a bus 5 in each of the other three redundant RMUs. Each of RMUs 1, 21, 22 and 23 are provided on separate backplanes. Although a quad-redundant RMU set is shown, the redundancy depth may be adjusted to provide the reliability and availability necessary for a particular application.

Because RMU 1 and the redundant RMUs 21, 22 and 23 are performing the identical operation at about the same time, data streams from redundant RMUs 21, 22 and 23 begin to be received through bus 5 and receivers 16<sub>1</sub>, 16<sub>2</sub>

and 16<sub>3</sub> (shown in Figure 1). These data streams are fed, along with a local data stream from receiver 14, to mask unit 17. According to configuration commands from configuration management unit 11, mask unit 17 disables none, one or more of the data streams. Receivers 16<sub>1</sub>, 16<sub>2</sub> and 16<sub>3</sub> convert the data streams from redundant RMUs 21, 22 and 23 from optic to electronic. Further, each of receivers 16<sub>1</sub>, 16<sub>2</sub> and 16<sub>3</sub> is clocked by a PLL which has locked its internal clock to the incoming data stream.

The data streams are then fed to deskew unit 18. Any expected timing skew is removed from the data streams in accordance with the clock synchronization algorithm of clock synchronization unit 10. Clock synchronization unit 10 monitors, through connection with deskew unit 18, the data stream skew and collects skew data as input to the clock synchronization algorithm. The clock synchronization algorithm computes a correction which is applied to global time unit 8. This correction will keep this skew between the data streams less than a maximum amount in accordance with the clock synchronization algorithm. In support of clock synchronization among local processing modules connected to RMU 1, scheduler processor 9 generates a synchronization command, and inserts the synchronization command into the data stream and onto read bus 2 through clock synchronization unit 10 and deskew unit 18. This synchronization command causes the local processing modules to synchronize their global time register to the global time of RMU 1, i.e., through the synchronization command.

From deskew unit 18, the data streams pass to a voter unit 19, where the data are compared and corrected data produced. Voter unit 19 may use one of many conventional and well-known voting algorithms, including majority vote, plurality vote and mid-value select. The corrected data stream is then fed to optical transmitter 7, where the corrected data are converted from electronic to optic and sent along read bus 2 in accordance with the time base from time base oscillator 6.

Figure 3 is a block diagram of a processing module 24, which is connected to RMU 1 through a read bus 25 and a write bus 26. The fiber optic cables of read bus 25 in processing module 24 correspond with the fiber optic cables of read bus 2 of RMU 1. The fiber optic cable of write bus 26 corresponds with one of the fiber optic cables of write bus 3 of RMU 1. As discussed above, the read bus 25 may be replicated to increase reliability. If it is, the replicated optical signals are passed through an optical combiner 27 and then a threshold enforcing receiver 28. Receiver 28 converts the optical signals to electronic signals. In addition, receiver 28 is threshold enforcing and thus allows a majority of good optical signals to overwhelm a minority of erroneous optical signals. This is only possible if the optical signals are tightly synchronized, which they are because they are produced using a single time base in RMU 1. The data stream from receiver 28 is fed to a PLL 29, a clock synchronization unit 30 and a scheduler processor 32. PLL 29 locks its clock with the data stream, thus regenerating the time base of RMU 1. The regenerated time base is fed to an optical transmitter 35 and the clock synchronization unit 30. Because the transmitter 35 of each local processing module 24 is driven by the clock derived by PLL 29, the transmitter 35 of each local processing module 24 is synchronized. Thus, data from each local processing module 24 is able to be multiplexed into write bus 3 of RMU 1.

Clock synchronization unit 30 monitors the data stream from receiver 28 for the synchronization command issued by scheduler processor 9 of RMU 1. Clock synchronization unit 30 also inputs the clock derived by PLL 29 to a global time unit 31. On receipt of the synchronization command, clock synchronization unit 30 causes global time unit 31 to be set to the global time of RMU 1. Global time unit 31 may be a counter register, for example. Global time unit 31 sends the global time to scheduler processor 32.

The scheduler processor 32 of processing module 24, like scheduler processor 9 in RMU 1, contains a table of message information versus global time. Also, like scheduler process 9 in RMU 1, scheduler processor 32 may be

a conventional data processor (computer). In addition, scheduler processor 32 contains a unique ID which identifies in the table the data requirements of processing module 24. Scheduler processor 32 is also connected to receive the data stream from receiver 28. Moreover, scheduler processor 32 is  
5 connected to transfer data to optical transmitter 35, and to transfer data to and from data object storage unit 33. The scheduler processor 32, as determined by the global time from global time unit 31 and the ID, either assembles the incoming data stream from receiver 28 and stores it in appropriate locations in data object storage unit 33, retrieves data from data object storage unit 33 and  
10 sends the data to optical transmitter 35, or does nothing. Optical transmitter 35 converts the data from electronic to optic and inputs the data to write bus 26.

As discussed above, the dissimilar dual controls access to RMU 1. The first part of the dissimilar dual is global time unit 8, scheduler processor 9 and  
15 selector 12 of RMU 1. The second part of the dissimilar dual is global time unit 31, scheduler processor 32 and transmitter 35 of processing module 24.

Data in data object storage unit 33 may be read and written by an application specific processing unit 34, which may be a conventional data processor (computer), and which is specific to processing module 24.  
20 Application specific processing unit 34 requires access to the global time, e.g., through scheduler processor 32 and data object storage unit 33, to coordinate its actions with data reception and transmission.

Figure 4 shows a local fiber optic read bus 38 and a local fiber optic write bus 39 interconnecting a plurality of processing modules  $36_1...36_N$  and an  
25 RMU 37. Processing modules  $36_1...36_N$  each correspond to processing module 24 shown in Figure 3. Processing modules  $36_1...36_N$  are identical to each other, except that the application specific processing unit and the ID of the scheduler processor are specific to each processing module. RMU 37 corresponds to RMU 1 of Figure 1. Thus, read bus 38 of RMU 37 corresponds



to read bus 2 of RMU 1, while write bus 39 of RMU 37 corresponds to write bus 3 of RMU 1. Figure 4 shows only one channel.

Figure 5 shows two channels of the quad-redundant RMU set shown in Figure 2. Each channel includes a local fiber optic write bus and a local fiber optic read bus that connect an RMU to a plurality of processing modules. RMUs 41 and 43 correspond to RMU 1 shown in Figure 1, and are identical to each other and the other two redundant RMUs (not shown) of the quad-redundant RMU set. At least one of processing modules  $40_1 \dots 40_N$  and at least one of processing modules  $42_1 \dots 42_M$  correspond to processing module 24 of Figure 3. Processing modules  $40_1$  and  $42_1$  may be, for example, identical. Two identical processing modules that are identical to processing modules  $40_1$  and  $42_1$  are respectively included in the third and fourth channels that are not shown in Figure 5. Other processing modules  $40_2$  and  $42_2$  (not shown) may be identical to processing modules  $40_1$  and  $42_1$ , except that the application specific processing unit and the ID of the scheduler processor of each are specific to the processing module. Two additional processing modules identical to processing modules  $40_2$  and  $42_2$  (not shown) are respectively included in the third and fourth channels that are not shown in Figure 5. At least one of processing modules  $40_1 \dots 40_N$  and  $42_1 \dots 42_M$  may have a lower redundancy depth (i.e., less than quad) so that M does not necessarily equal N. Alternatively, at least one of processing modules  $40_1 \dots 40_N$  and  $42_1 \dots 42_M$  may instead be an I/O module or simplex processor, as discussed below.

Increased fault isolation on the write bus can be achieved by using a different wavelength transmitter for each of the processing modules and a broadband receiver in the RMU. The RMU and the read and write buses must have the bandwidth to pass all local and global traffic.

The present invention provides a processing and communications platform which will meet the requirements of flight critical tasks. Surplus throughput and bandwidth can be utilized by non-critical tasks. For example, some of the processing modules may correspond to flight critical tasks, while

other processing modules correspond to non-critical tasks. Non-critical hardware (e.g., I/O modules) can also be integrated into the present invention, as shown in Figure 5A. Figure 5A corresponds to Figure 5, except that I/O modules 40<sub>2</sub>, 40<sub>3</sub>, 42<sub>2</sub> and 42<sub>3</sub> are connected to read and write buses. I/O modules 40<sub>2</sub>, 40<sub>3</sub>, 42<sub>2</sub> and 42<sub>3</sub> correspond to processing module 24 of Figure 3, but may include a sensor or actuator, as application specific processing unit 34. Accordingly, working processing modules may be given access to I/O modules on channels with failed processing modules. For example, in Figure 5A, if processing module 40<sub>1</sub> failed, then its I/O modules 40<sub>2</sub> and 40<sub>3</sub> would still be accessible by working processing unit 42<sub>1</sub>.

I/O modules or simplex processes on a channel will be required to pass their output through the mask, deskew and voter circuits of the RMU. For example, if single source data is generated by I/O module 40<sub>2</sub>, when the single source data is to be passed through RMU 41, all four channels must command RMU 41 to open its channel for a finite time for the single source distribution. At the end of this time, RMU 41 will return to quad-channel operation as discussed above. Data consistency of single source data can be guaranteed by performing a write/read operation of the new replicated data to the backplane. This procedure satisfies the requirements of the Iterative Consistency Algorithm as described by L. Lamport in "The Byzantine Generals Problem", ACM Transactions on Programming Languages and Systems", Volume 4, No. 3, pp. 382-401, July 1982, which is incorporated herein by reference, and which is designed to protect against Byzantine failures, i.e., a single failure which could cause different non-failed modules to interpret the same transmission differently.

Figure 6 is a block diagram of a second embodiment of the present invention wherein the RMU is integrated with a distributed system data path controller (DSDPC) 47 to form a distributed system data path (DSDP) module 44. The RMU of DSDP module 44 corresponds with RMU 1 shown in Figure 1. The DSDP module 44 is especially desirable if the RMU is not replicated

and thus requires few resources. Integration is optimized if the RMU is integrated with a networking application. This arrangement brings a fault-tolerant connection to the network relative to processing modules connected to DSDP module 44 via a read bus 52 and a write bus 53. Read bus 52 of DSDP module 44 corresponds to read bus 2 of RMU 1 shown in Figure 1. Similarly, write bus 53 of DSDP module 44 corresponds to write bus 3 of RMU 1 shown in Figure 1. Accordingly, local processing modules (shown in Figure 7A) are connected to DSDP module 44 through read bus 52 and write bus 53. In Figure 6, the network is shown as an input network line 45 and an output network line 46. Network lines 45 and 46 are controlled by DSDPC 47, which behaves as an application, retrieving and writing data into data object storage unit 48. The DSDPC 47 can also retrieve data from a voter unit 51 under control of a scheduler processor 49. Voter unit 51 of DSDP module 44 corresponds with voter unit 19 of RMU 1 shown in Figure 1. The DSDPC 47 can also write data to a selector 50 under control of scheduler processor 49. DSDPC 47 may be a conventional data processor (computer).

The scheduler processor 49 of DSDP module 44 corresponds to scheduler processor 9 of RMU 1 shown in Figure 1, except that scheduler processor 49 can retrieve data directly from voter unit 51, i.e., before transmission onto read bus 52, and write data directly to selector 50 without going over write bus 53. Scheduler processor 49 can, thus, route the data stream from DSDPC 47 through the RMU masking, deskewing and voter circuits. In other respects, DSDP module 44 is identical to RMU 1 shown in Figure 1.

Figure 7 is a block diagram of a four channel distributed system data path (DSDP) including two fault-tolerant sites. Each fault-tolerant site includes a quad-redundant DSDP module set. For example, DSDP modules 54<sub>1</sub>, 54<sub>2</sub>, 54<sub>3</sub> and 54<sub>4</sub> form a first fault-tolerant site, while DSDP modules 55<sub>1</sub>, 55<sub>2</sub>, 55<sub>3</sub> and 55<sub>4</sub> form a second fault-tolerant site. Each of DSDP modules 54<sub>1</sub>, 54<sub>2</sub>, 54<sub>3</sub>, 54<sub>4</sub>, 55<sub>1</sub>, 55<sub>2</sub>, 55<sub>3</sub> and 55<sub>4</sub> correspond to DSDP module 44 shown in Figure 6.

The DSDP modules at each fault-tolerant site are interconnected within the site. In Figure 7, each of these interconnects are schematically represented for simplicity by interconnects 60 and 61, each of which in reality corresponds to both read and write buses 4 and 5 shown in Figure 2. DSDP modules 54<sub>1</sub> and 55<sub>1</sub> are connected by network line 56<sub>2</sub>, DSDP modules 54<sub>2</sub> and 55<sub>2</sub> are connected by network line 57<sub>2</sub>, DSDP modules 54<sub>3</sub> and 55<sub>3</sub> are connected by network line 58<sub>2</sub>, and DSDP modules 54<sub>4</sub> and 55<sub>4</sub> are connected by network line 59<sub>2</sub>. Network lines 56<sub>1</sub>, 56<sub>2</sub> and 56<sub>3</sub> form a first channel of the four channel DSDP. Network lines 57, 58 and 59 respectively form the second through fourth channels of the DSDP.

The configuration of the DSDP and the integration of the DSDPCs with the RMUs in the DSDP modules function to distribute good data to processing modules in a channel in the event of failure of that channel's associated DSDPC or incoming network line. For example, if network line 57<sub>2</sub> or either of the DSDP modules 54<sub>2</sub> or 55<sub>2</sub> fails, then the DSDP module 54<sub>2</sub> will not receive good data from link 57<sub>2</sub>. However, because DSDP modules 54<sub>1</sub>, 54<sub>3</sub> and 54<sub>4</sub> will receive good data, this data will be passed to the RMU in the DSDP module 54<sub>2</sub>, where it will be assembled and distributed over the read bus of DSDP module 54<sub>2</sub>. Likewise, given that the DSDPC in the DSDP module 54<sub>2</sub> is functioning, this data is regenerated and passed to the next processing site, effecting a self-repair of the DSDP.

Figure 7A is a block diagram showing two channels of the quad-redundant DSDP module set shown in Figure 7. Like Figure 5A, Figure 7A shows I/O modules connected within the channels of the quad-redundant DSDP module set, in addition to processing modules. In Figure 7A, the four channel DSDP is shown with network lines 56, 57, 58 and 59.

Reliability Analysis

This analysis focuses on a quad-redundant fault-tolerant site. Each channel in the fault-tolerant site holds 20 modules including a DSDP module. Six analyses were done including three different initial conditions and two failure/recovery models. The first initial condition is a full-up system, with no failures. The second initial condition is a system with one module failure. The third initial condition is a system with one RMU failure and, therefore, one failed channel. For each of these three initial conditions analyses were done for two failure/recovery models. One model is conservative with a module failure rate of  $10^{-5}$  per hour. The second model is optimistic with a module failure rate of  $10^{-6}$  per hour. The RMU failure rate is assumed to be one tenth that of the module failure rate. The recovery time is 1 minute for the conservative model and 15 minutes for the optimistic model. Fault detection coverage is assumed to be 100%. Table I summarizes the results. An analysis of a quad-redundant DSDP having 10 fault-tolerant sites produces identical results.

TABLE I. Reliability Analysis

Initial Conditions	Module Failure Rate	RMU Failure Rate	Recovery Time (min)	Reliability @10 hr.
Full up	$1.0 \times 10^{-5}$	$1.0 \times 10^{-6}$	1	$< 2.0 \times 10^{-9}$
	$1.0 \times 10^{-6}$	$1.0 \times 10^{-7}$	15	$< 1.0 \times 10^{-9}$
1 Module Bad	$1.0 \times 10^{-6}$	$1.0 \times 10^{-6}$	1	$< 5.0 \times 10^{-8}$
	$1.0 \times 10^{-6}$	$1.0 \times 10^{-7}$	15	$< 1.0 \times 10^{-9}$
1 RMU Bad	$1.0 \times 10^{-5}$	$1.0 \times 10^{-6}$	1	$< 5.0 \times 10^{-7}$
	$1.0 \times 10^{-6}$	$1.0 \times 10^{-7}$	15	$< 5.0 \times 10^{-9}$

Availability Analysis

Availability analyses were done on both a single processing node with five quad-redundant modules (20 total), and a DSDP with 100 modules which are distributed across five fault-tolerant sites on the DSDP. It was assumed that it would be necessary for two modules of the same redundant set to fail before repair was necessary. The probabilities of a repair action for several operating times were derived for the conservative and optimistic systems and are shown in Table II.

TABLE II. Probability of Repair

Time	Conservative Processing Node	Conservative System	Optimistic Processing Node	Optimistic System
10 hours	$3.0 \times 10^{-7}$	$1.5 \times 10^{-6}$	$3.0 \times 10^{-9}$	$1.5 \times 10^{-8}$
1 month	$3.0 \times 10^{-4}$	0.0014	$3.0 \times 10^{-6}$	$1.5 \times 10^{-5}$
1 year	0.05	0.20	$5.0 \times 10^{-4}$	0.025
10 years	0.98	0.99	0.10	0.30

Numerous modifications and adaptations of the present invention will be apparent to those so skilled in the art. For example, although the fault-tolerant fiber optic backplane discussed above serially interconnects local modules to external backplanes and their redundant modules, it may be desirable to instead incorporate a parallel interconnection. Thus, it is intended by the following claims to cover all modifications and adaptations which follow within the true spirit and scope of the present invention.

What is claimed is:

## FAULT-TOLERANT FIBER OPTIC BACKPLANE

Abstract of the Disclosure

5 A fault-tolerant, fiber optic interconnect, or backplane, which serves as a via for data transfer between modules. Fault tolerance algorithms are embedded in the backplane by dividing the backplane into a read bus and a write bus and placing a redundancy management unit (RMU) between the read bus and the write bus so that all data transmitted by the write bus is subjected to the fault tolerance algorithms before the data is passed for distribution to the read bus. The RMU provides both backplane control and fault tolerance.

FIG. 1

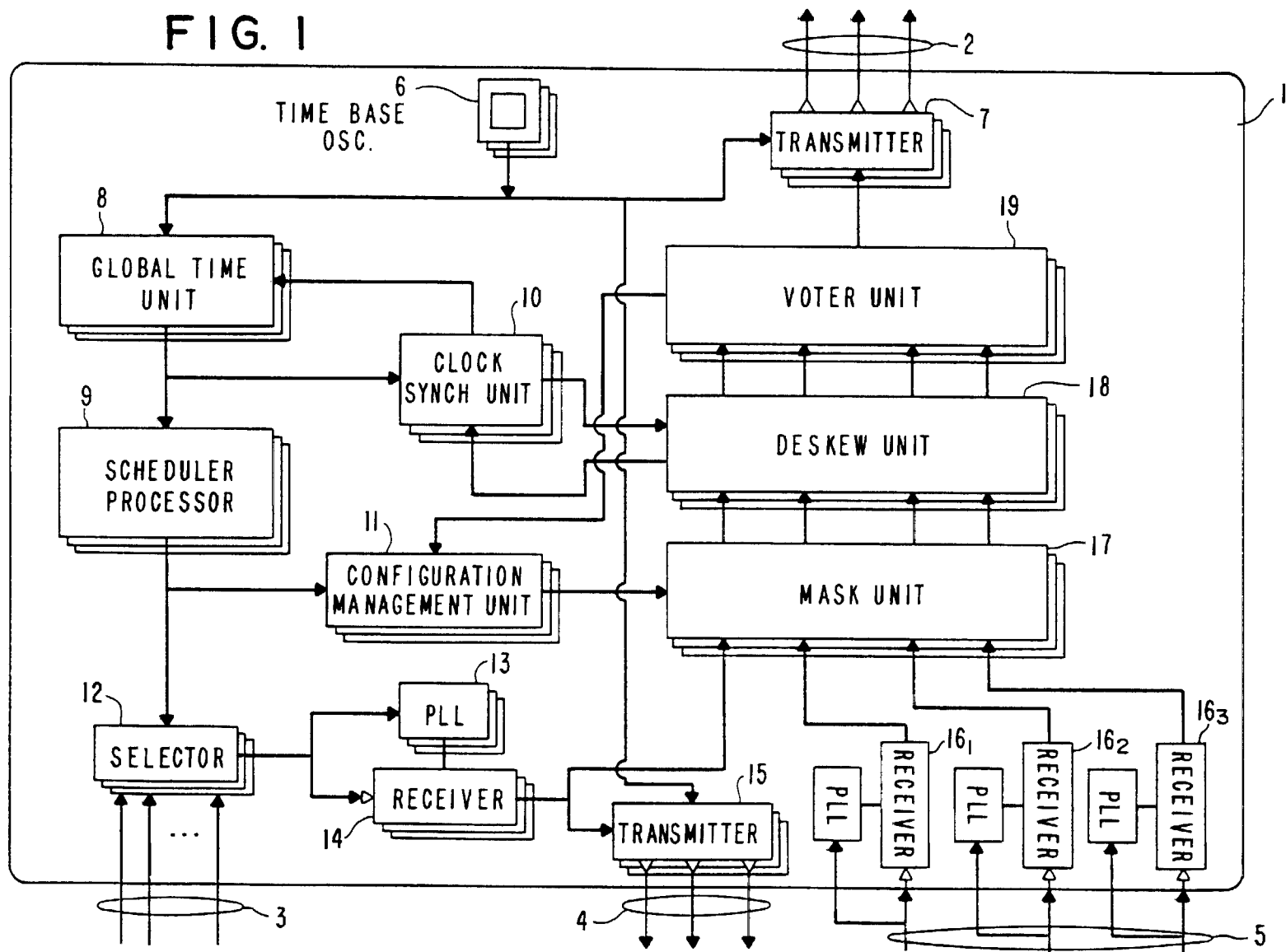




FIG. 2

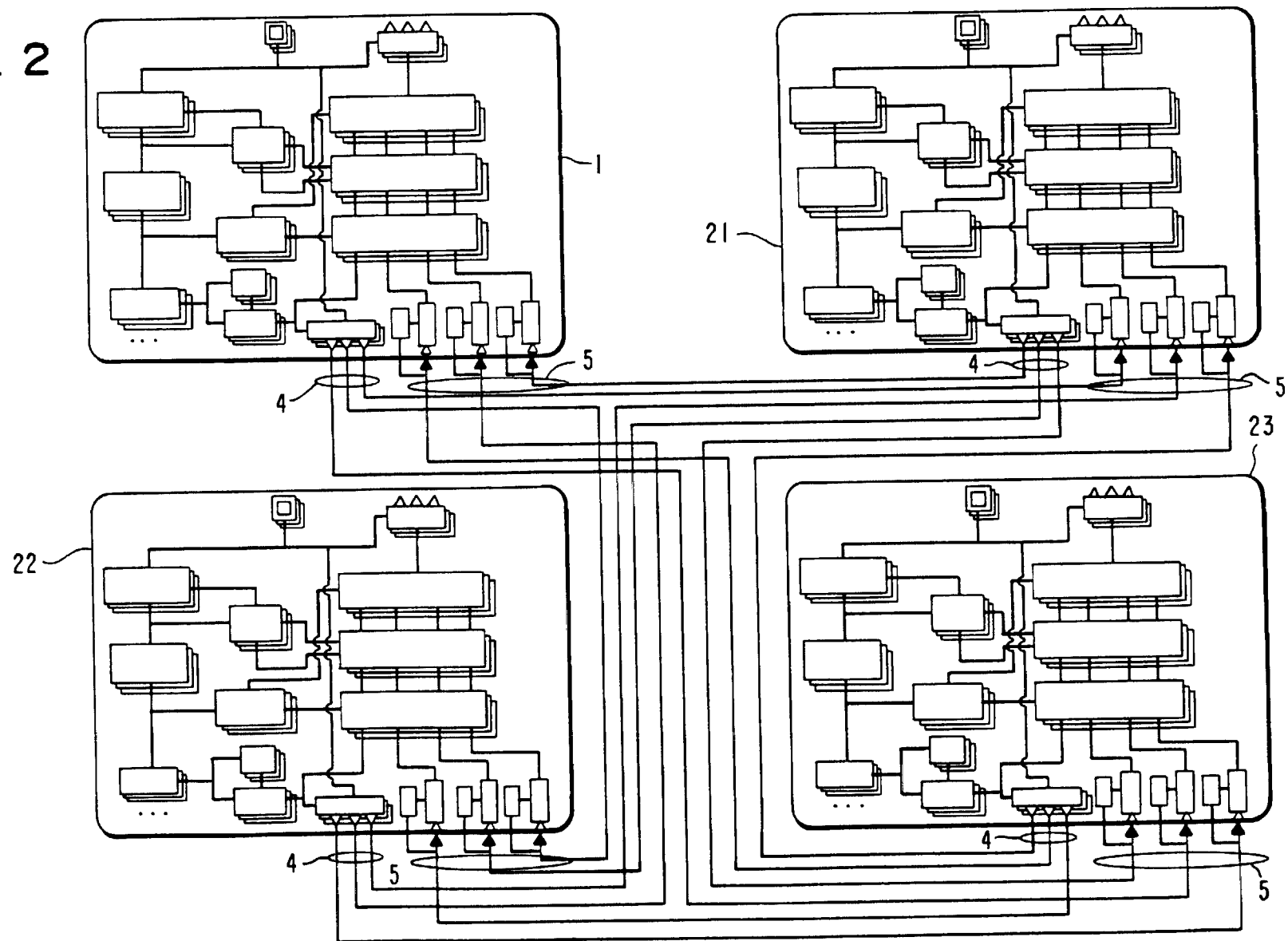


FIG. 3

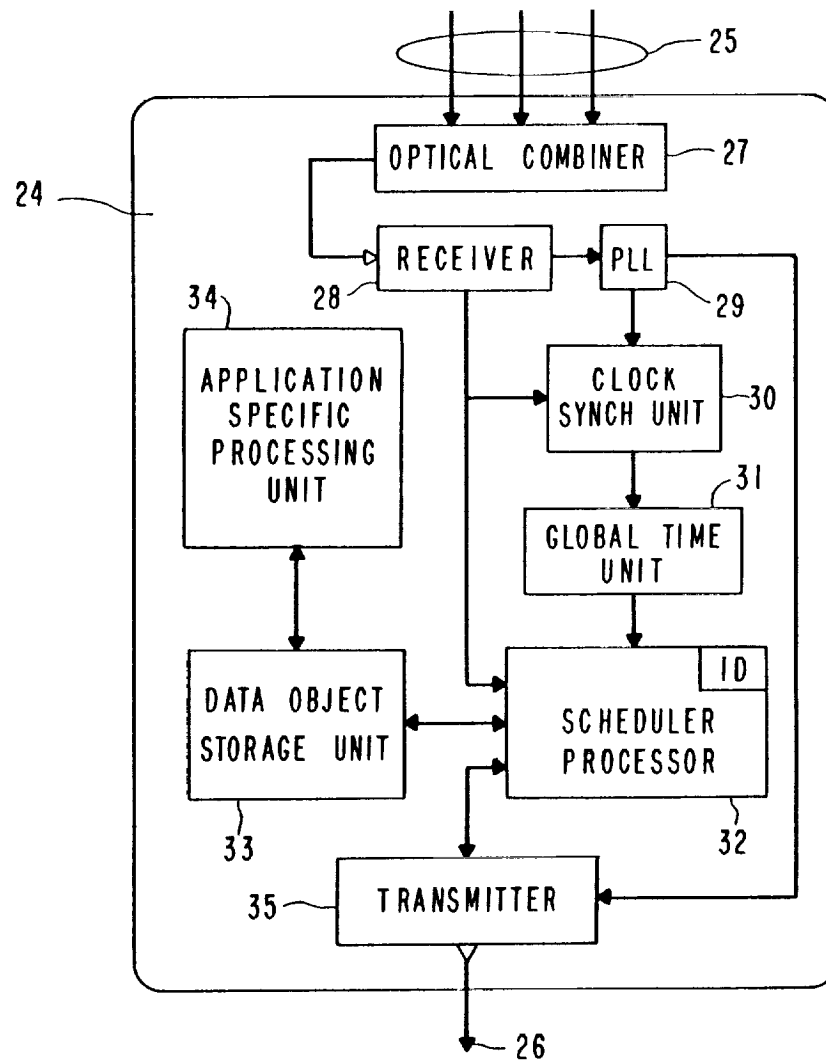
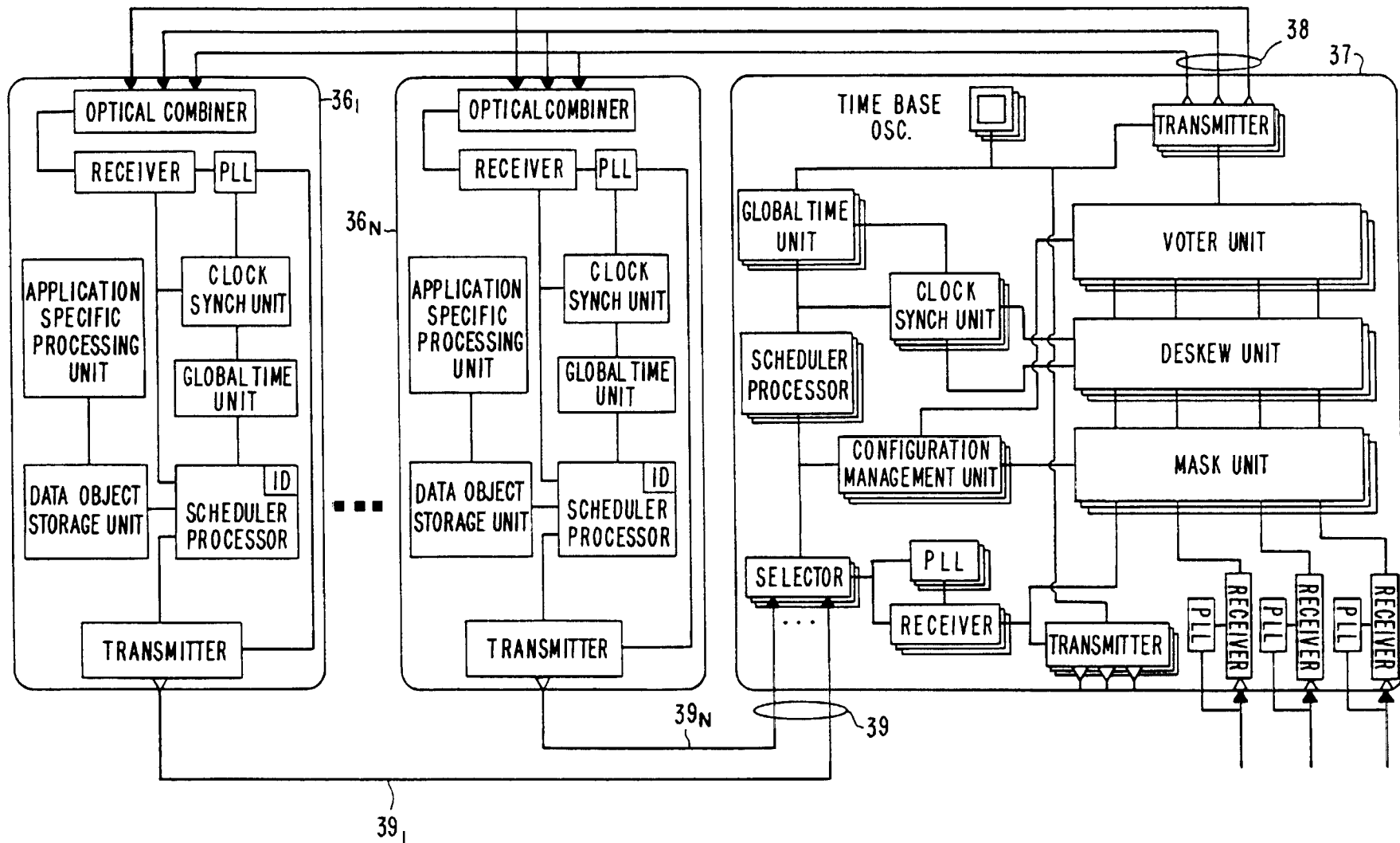


FIG. 4



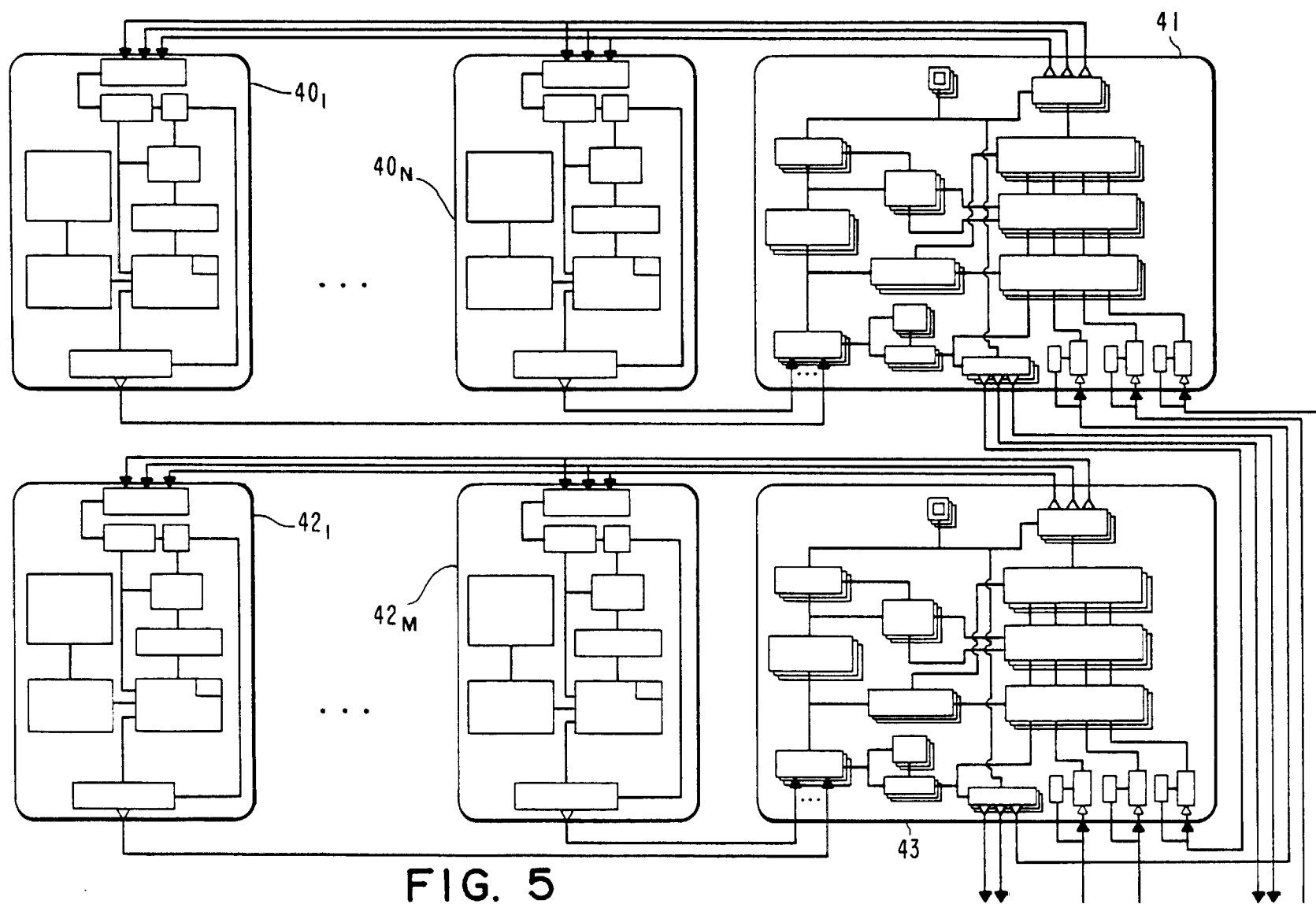


FIG. 5

FIG. 5A

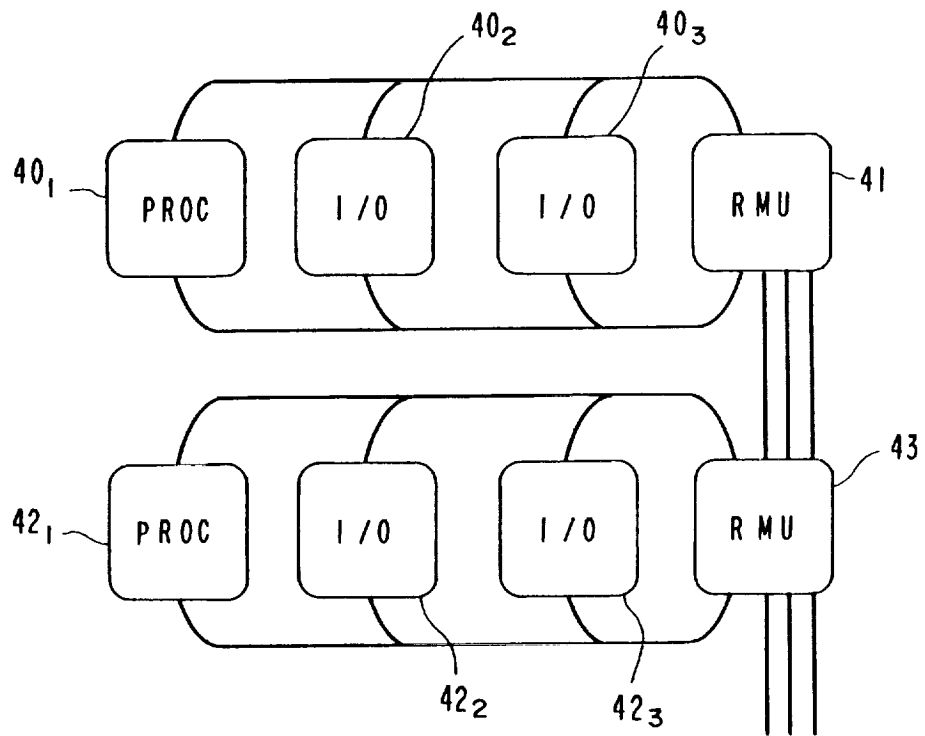
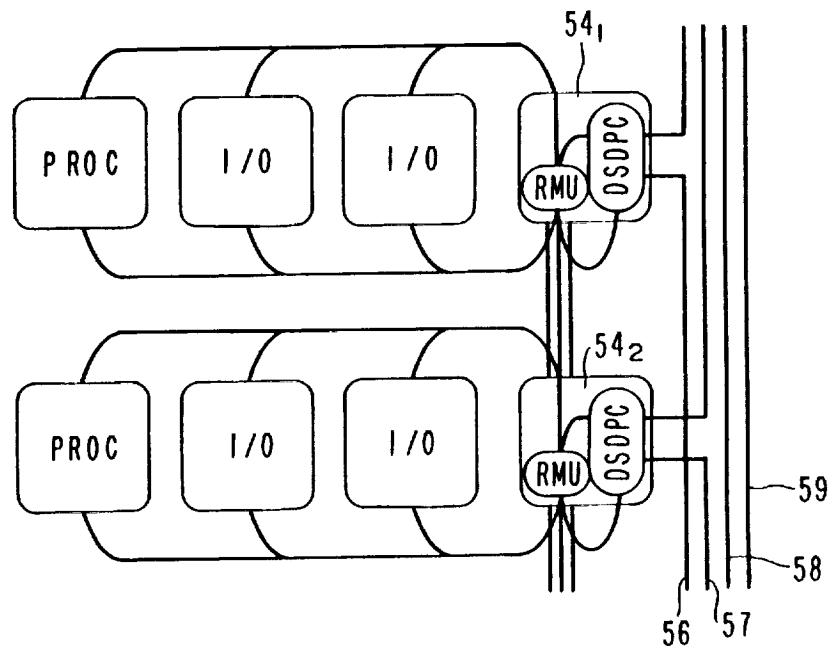


FIG. 7A



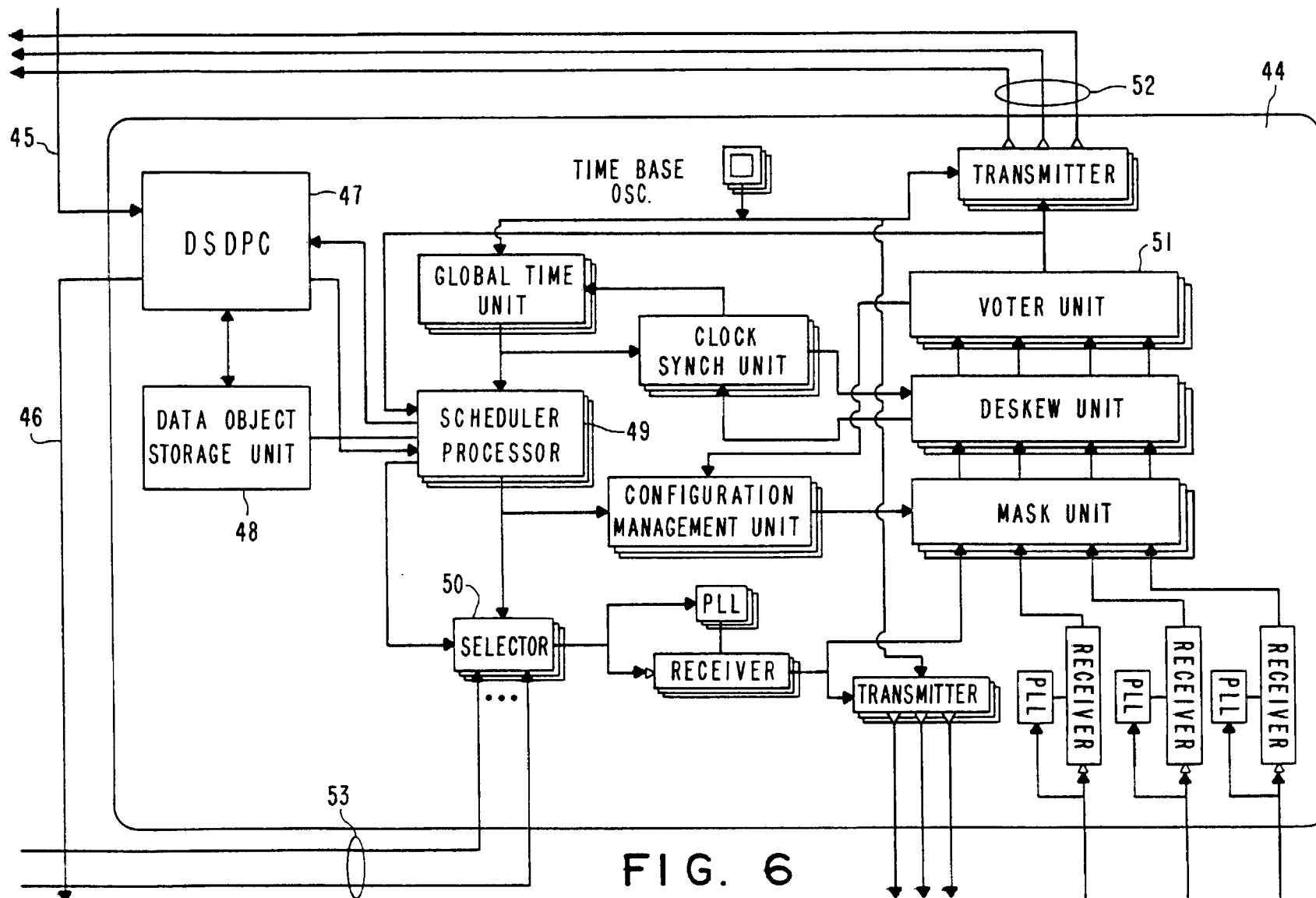


FIG. 6

FIG. 7

